



NEWGEN™

Newgen Software Technologies Limited

CIN: L72200DL1992PLC049074

A-6, Satsang Vihar Marg, Qutab Institutional Area, New Delhi - 110 067, INDIA

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Date: 17th April 2020

To, BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400001	To, National Stock Exchange of India Limited Exchange Plaza, Plot No. C/1, G Block, Bandra- Kurla Complex Bandra (E), Mumbai – 400051
Ref.: Newgen Software Technologies Limited (NEWGEN/INE619B01017) Scrip Code – 540900	Ref.: Newgen Software Technologies Limited (NEWGEN/INE619B01017)

Subject: Compliance Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015 for the half year ended on 31st March 2020 issued by Practising Company Secretary.

Dear Sir/Ma'am,

Pursuant to Regulation 40(10) of SEBI (Listing Obligations and Disclosures Requirement) Regulations, 2015, we are enclosing herewith the Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 dated 16th April, 2020 received by Company from M/s Kundan Agrawal & Associates, Practising Company Secretary Firm for the half year ended 31st March, 2020.

Further, please note that as per the guidelines issued by Stock Exchanges and considering the grave situation due to outbreak of Covid-19 pandemic, the said certificate is being submitted in "Sd/- form".

Kindly take the same on your record.

Thanking you,

For Newgen Software Technologies Limited

Sd/-

Aman Mourya

Company Secretary & Compliance Officer

Encl.: a/a



CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31ST MARCH 2020

We, have examined all the records, registers, files and other documents relating to **M/s NEWGEN SOFTWARE TECHNOLOGIES LIMITED (CIN: L72200DL1992PLC049074)** maintained by **M/s KFin Technologies Private Limited (earlier known as Karvy Fintech Private Limited)** having its office at Selenium Building Tower B, Plot No. 31-32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana-500032 pertaining to Equity Shares of the Company for the period beginning from **01/10/2019 to 31/03/2020 ("the relevant period")** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulations, 2015.

Based on the information provided by the Company and as per our examination of records of the Company, in our opinion and to the best of our information and according to the explanations given to us, we hereby certify that there were no requests relating to transfer sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies were received during the relevant period.

Place: New Delhi
Date: 16/04/2020

For Kundan Agrawal & Associates
Company Secretaries
FRN: S2009DE113700



Kundan Agrawal
Company Secretary
C.P. No. 8325
Membership No. 7631
UDIN: F007631B000161587